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ORGANOPHOSPHORUS CHEMISTRY, 21.1 THE BEHAVIOUR OF 1-DICYANOMETHYLENE-ACENAPHTHEN-2-ONE AND 1-DICYANOMETHYLENE-3-INDANONE TOWARD ATTACK BY ALKYL PHOSPHITES

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ORGANOPHOSPHORUS CHEMISTRY, 21.1 THE BEHAVIOUR OF 1-DICYANOMETHYLENE-ACENAPHTHEN-2-ONE AND 1-DICYANOMETHYLENE-3-INDANONE TOWARD ATTACK BY ALKYL PHOSPHITES

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Trialkyl phosphites (1a-c) and dialkyl phosphonates (11a-c) attacked 1-dicyanomethylene-acenaphthen-2-one (9) at the α -carbon atom with respect to the nitrile group, to give phosphonate adducts 13a-c and 14a-c, respectively. The reaction proceeded according to 1:2 addition. On the other hand, 1 reacts with 1-dicyanomethylene-3-indanone (10) to give the respective Ω -alkylated products 17, while 11 attacked 10 at the β -carbon atom with respect to the nitrile group to give the corresponding phosphonates 18. Structures of the new compounds were confirmed on the basis of elemental analyses and spectral studies.

Key words: α , β -Unsaturated nitriles; phosphorylation; C-alkylation.

INTRODUCTION

Recently,^{2,3} we have reported on the first example for utilizing trialkyl phosphites (1) as \underline{C} -alkylating agents. We showed that 1 attacks α,β -unsaturated nitriles 2 to give systems of type 3 or 4, depending upon the environment of the ethylenic moiety (Scheme 1). Thus, 1 affected β - \underline{C} -alkylation² of 3-cyanomethylene oxindoles

$$(RO)_{3}P + Y \stackrel{X}{=} \stackrel{Z}{=} \stackrel{CN}{=} \stackrel{CN}{=} \stackrel{C}{=} \stackrel{CN}{=} \stackrel{CN}{=}$$

Scheme 1

(5) to give 6, while the same reagents caused α -C-alkylation³ of furfurylidene malonitrile (7) yielding 8 (Scheme 2).

During our research for the scope and limitation of such novel potentiality of trialkyl phosphites (1) as $\underline{\mathbb{C}}$ -alkylating agents for systems 2, we have now studied the reaction of 1 with 1-dicyanomethylene-acenaphthen-2-one (9) and 1-dicyanomethylene-3-indanone (10) (also known as 3-oxo- Δ 1,a-indanmalonitrile). A comparative study on the reactivity of 9 and 10 toward dialkyl phosphonates (11) is also undertaken.

$$(RO)_{2}P(O)H \leftrightharpoons (RO)_{2}POH$$

$$\begin{array}{c|c}
11 & R \\
\hline
a & CH_{3} \\
b & C_{2}H_{5} \\
c & i \longrightarrow C_{3}H_{7}
\end{array}$$

RESULTS AND DISCUSSION

We have found that the reaction of trimethyl phosphite (TMP, 1a) with 1-dicy-anomethylene-acenaphthen-2-one (9) was completed (TLC) when the reactants were stirred in CH_2Cl_2 at room temperature for 48 h. The colorless crystalline product of this reaction (ca. 80%), is chromatographically pure and possessed a sharp melting point. It was assigned the phosphonate structure 13a for the following reasons: a) Its ³¹P NMR in $CDCl_3$ (vs. 85% H_3PO_4) recorded a positive shift at $\delta 21.58$ ppm.⁴ b) Presence of a carbonyl function in 13a was inferred from a strong

	Yield			Anal. (Calcd./Found)					IR	
Cpd.	in %*	mp °C	Mol. Form (Mol. Wt.)	С	Н	N	P	M.S. m/z	C≡N	c=o
13a	82	100 ^b	C ₁₈ H ₁₅ N ₂ O ₄ P (354.307)	61.02 60.96	4.26 4.08	7.91 7.83	8.74 8.66	354	2220	1730
b	60	76 ^b	$C_{21}H_{21}N_2O_4P$ (396.388)	63.63 63.60	5.34 5.32	7.06 6.89	7.81 7.77	396	2230	1740
14a	70	149 ^b	$C_{17}H_{13}N_2O_4P$ (340.28)	60.00 59.97	3.85 3.83	8.23 8.09	9.10 9.05	340	2225	_
b	70	169ь	C ₁₉ H ₁₇ N ₂ O ₄ P (368.334)	61.95 61.92	4.65 4.55	7.61 7.48	8.41 8.39	368	Weak	_
17a	90	158°	$C_{13}H_8N_2O$ (208.221)	74.98 74.76	3.87 3.84	13.45 13.35	_	208	2250	_
b	85	136°	$C_{14}H_{10}N_2O$ (222.248)	75.66 75.62	4.53 4.48	12.60 12.57	_	222	2245	_
c	85	156°	$C_{15}H_{12}N_2O$ (236.275)	76.25 76.05	5.11 5.10	11.85 11.73	_	236	2290	_
18a	76	142°	$C_{14}H_{13}N_2O_4P$ (304.247)	55.26 55.18	4.30 4.28	9.21 9.07	10.18 10.02	304	weak	1765
b	68	130°	$C_{16}H_{17}N_2O_4P$ (332.301)	57.83 57.69	5.15 5.04	8.43 8.41	9.32 9.35	332	weak	1755
c	70	139°	$C_{18}H_{21}N_2O_4P$ (360.355)	59.99 59.98	5.87 5.84	7.77 7.69	8.59 8.47	360	weak	1760

^a Yields are approxim.
Solve. of cryst.: ^b Diethylether. ^cPet. ether (b.r. 80–100°C).

band at 1730 cm⁻¹ in its IR-spectrum (KBr), and a signal at 168.6 ppm in its ¹³C NMR spectrum (in CDCl₃). c) Presence of —C—<u>CH</u>₃ group in **13a** was strongly supported by a signal at δ 2.30 ppm (3H, d, ⁴ J_{HP} = 4.5 Hz)).⁵ d) The ¹³C NMR spectrum **13a** also showed a signal at δ 29.72 ppm; which coincides with a chemical shift expected for a ring sp³-carbon atom bearing a methyl group.⁶ The PMR spectrum of **13a** also showed signals due to the methoxyl groups attached to phosphorus at δ 3.90 (6H, 2d, ³ J_{HP} = 12 Hz).

On similar grounds, the reaction product of 9 with triethyl phosphite (TEP, 1b) was assigned structure 13b (cf. Tables I and II). The reaction of compound 9 with dimethyl phosphonate (DMP, 11a) was completed by heating the reactants at 100°C for 16 h. It yielded a colorless crystalline material to which structure 14a was assigned for the following reasons: a) Compatible elemental analysis and molecular weight determination (MS) for 14a corresponded to C₁₇H₁₃N₂O₄P. b) The phosphonate structure in the product 14a was established by a signal at $\delta + 22.75$ ppm in the ³¹P NMR spectrum (CDCl₃). c) Its IR spectrum (KBr) showed strong absorption bands (cm $^{-1}$) at 2225 (C \equiv N), 1735 (C \equiv O), 1260 (P \equiv O, free) and at 1050 (P—O—CH₃).⁵ d) The PMR spectrum of 14a (CDCl₃) showed signals at δ 3.85 ppm (6H, 2d, each with ${}^{3}J_{HP} = 12 \text{ Hz}$) due to the methoxyl groups attached to phosphorus. Moreover, the ring methine proton gave a doublet (${}^{3}J_{HP} = 12 \text{ Hz}$) at δ 5.06 ppm. e) Compound 14a which is insoluble in 10% NaOH aq., yielded the corresponding monomethyl ether (15) upon treatment with an ethereal diazomethane solution. Its IR spectrum showed no carbonyl group absorption around 1730 cm⁻¹. Moreover, the doublet that appeared in the PMR spectrum of 14a at δ 5.06 was absent in the spectrum of 15. Instead, a signal (3H, s) at δ 3.65 due to the ring O-methyl protons appeared.

Based upon these arguments, an alternative structure like 16 for the reaction product of DMP 11a with 9 can be excluded.

TABLE II

1H NMR Spectral Data*

	¹ H NMR (δ, ppm; in CDCl ₃) ⁶							
Compound	C—CH ₃	C—CH ₂	OCH ₃ , OCH ₂	С—Н				
13a	2.30(d, J4.5, 3H)	-	3.9(2d, J12, 6H)	_				
b	1.2(m, 9H)	1.98(q, 2H)	4.02(q, 4H)	-				
14a b		_	3.85(2d, J12, 6H) 4.09(2q, J12, 4H)	5.06(2d, J12, 1H) 4.95(d, J12, 1H)				
17a b c	1.55(t, J8.5, 3H) 1.5(d, J8, 6H)	_ _ _	4.08(s, 3H) 3.45(q, J8.5, 2H) 4.7(sept, J8, 1H)	5.72(s, 1H) 4.9(s, 1H) 5.65(s, 1H)				
18a	_	2.9&3.38(2d of d, J10.5, 2H)	3.45&3.9(2d, J10.5, 6H)	4.73(d, J10.5, 1H)				
b	1.03(2t, 6H)	2.87&3.36(2d of d, J10.5, 2H)	4.10(2q, 4H)	4.1(d, J11.5, 1H)				
c	1.33(4d, 12H)	2.95&3.34(2d of d, J11.5, 2H)	4.23&4.8(2 sept., J11.5, 2H)	4.8(d, <i>J</i> 10.5, 1H)				

^{*}See experimental for details for NMR experiments.

^b Aromatic hydrogen protons in δ 7-8 ppm region.

Compound 9 also reacted with diethyl phosphonate (11b) to give a 1:1 phosphonate adduct formulated as 14b (cf. Tables I and II).

A mechanism that accounts for formation of compounds 13 from the reaction of 9 with trialkyl phosphites (1) is depicted in Scheme 3. This involves primary nucleophilic attack by the phosphite-phosphorus on the exocyclic methide carbon in 9 to yield the dipolar species 12. Structure 12 undergoes the intramolecular alkyl group translocation to yield 13.

Scheme 3

The reaction of 1-dicyanomethylene-3-indanone (10) with trialkyl phosphites (1a-c) was completed after refluxing the reactants in CH_2Cl_2 for ca. 18 h. In each case, an orange-red colored crystalline substance did not contain phosphorus, was isolated in ca. 80% yield, and proved to be the respective Q-alkyl ether (17a-c). The identity of 17a was established by comparing its m.p. and IR spectrum with those of reference specimen.⁷ Compounds 17b,c which are now prepared for the first time, gave compatible elemental and spectroscopic measurements (cf. Tables I and II). Elemental and molecular weight analyses for 17c, e.g., corresponded to $C_{15}H_{12}N_2O$. Its IR spectrum (in KBr) showed strong absorption bands (cm⁻¹) in the region 1500-1635 (C=C) and at 2290 (C=N). The PMR spectrum of 17c showed the isopropoxy- CH_3 protons as a doublet ($J_{HH} = 8.0 \text{ Hz}$) at δ 1.5 ppm.

Moreover, the spectrum showed a singlet at δ 5.65 (1H) due to the indene-ring proton, and a septet (1H) at 4.7 ppm due to the isopropoxy-CH proton.

The reaction of 10 with dimethyl phosphonate (DMP, 11a) proceeded upon heating the reactants at 100°C for 24 h. It yielded a colorless crystalline substance for which structure 18a was postulated for the following reasons: a) Presence of a P—C linkage in 18a was established by a signal at $\delta + 18.4$ ppm in its ³¹P NMR spectrum (85% H₃PO₄). b) Its IR spectrum (KBr) showed strong absorption bands at 1765 cm⁻¹ (C=O), 2225 (C≡N), 1270 (P=O, bonded) and at 1035 cm⁻¹ (P—O—CH₃). c) The PMR spectrum of **18a** showed protons of the methoxyl groups attached to phosphorus (6H) as two doublets centered at δ 3.45 and 3.9 ppm. Each of the methylene protons appeared as a doublet of doublet centered at δ 2.9 ppm (1H, d of d, ${}^{3}J_{HP} = 10.5 \text{ Hz}$) and at 3.38 ppm (1H, d of d, ${}^{3}J_{HP} = 10.50 \text{ Hz}$). Moreover, the exocyclic methine proton in 18a appeared as a doublet centered at 4.73 ppm (1H, ${}^{3}J_{HP} = 10.5$ Hz) due to coupling with the phosphorus atom, while aromatic protons (4H) appeared as a multiplet centered at δ 7.8 ppm. However, the presence of the exocyclic methine proton as a doublet (and not as a triplet) as well as the aforementioned data confirm the assigned structure 18 and rule out another alternative structure like 19.

In the same sense, the reaction products of 10 with diethyl-, and diisopropyl-phosphonates (11b,c) were assigned 18b,c (cf. Tables I and II).

CONCLUSION

From the present study, it is evident that the type of attack by TAP on 9 is along the line we have recently explored^{2,3} for \underline{C} -alkylation of system 2 with these re-

agents. On the other hand, \underline{O} -alkylation of 10 occurs under the influence of reagents 1a-c, yielding 17. This behaviour might be explained in terms of the rapid enolizability of the carbonyl function due to the proximity of the endocyclic methylene protons with subsequent alkylation of the hydroxyl group in the conventional manner.^{8,9}

On the other hand, dialkyl phosphonates (11a-c) attack either α - or β -carbon atom of the α , β -unsaturated nitrile system (9 and 10)—depending upon the environments—to afford phosphonates 1:1 adducts of types 14a-c and 18a-c, respectively.

EXPERIMENTAL

All melting points are uncorrected. The IR spectra were run on a Perkin-Elmer Infracord Spectrometer 157 (Grating) in KBr. The 1 H and 13 C NMR spectra were recorded on Bruker Model WH 90 Spectrometer. The chemical shifts are recorded in δ ppm, using TMS as an internal reference. The 31 P NMR spectra were taken on a Varian CFT-20 (vs. 85% 4 H₃PO₄). The mass spectra were performed at 70 eV on MS-50 Kratos (A.E.I) Spectrometer. All reactions were carried out under an atmosphere of N₂.

Reagents and Materials. Trialkyl phosphites (TAP) were purified by treatment with sodium ribbon followed by fractional distillation. Dialkyl phosphonates were freshly distilled. 1-Dicyanomethylene-acenaphthen-2-one (9)¹⁰ and 1-dicyanomethylene-3-indanone (10)¹¹ were prepared according to the established methods.

- 1) Reaction of 1-Dicyanomethylene-acenaphthen-2-one (9) with Trialkyl Phosphites (TAP, 1a,b). General procedure: To a stirred solution of 9 (0.01 mol) in 20 ml of dry CH₂Cl₂ at 0-5°C was added a solution of TAP (TMP and/or TEP, 1a,b, respectively, 0.02 mol) in the same solvent (5 ml). After being warmed to r.t. (3h), the reaction mixture was stirred for 48 h. The volatile materials were removed at 30°C (first at 20 mm, then at 0.5 mm/Hg). The residual material was triturated with light petroleum and left to cool. The solid so formed was collected, dried and recrystallized from a suitable solvent to give compounds 13a,b as colorless crystals. Percentage yields, physical and analytical data for compounds 13a,b are given in Tables I, II and III.
- 2) Reaction of 1-Dicyanomethylene-acenaphthen-2-one (9) with Dialkyl Phosphonates (DAP, 11a,b). General procedure: A mixture of the titled compound (9, 0.01 mol) and DAP (DMP and/or DEP, 11a,b, respectively) (4 ml) was heated in absence of a solvent at 100°C for ca. 12-16 h (TLC). After removing the volatile materials, in vacuo, the residue was triturated with light petroleum, then recrystallized from the appropriate solvent to give 14a,b as colorless crystals. Compounds 14a,b neither dissolve in NaOH (10%) solution nor respond to the FeCl₃ (1%) color reaction Percentage yields, physical and analytical data for compounds 14a,b are listed in Tables I, II, and III.
- 3) Action of Diazomethane on 14a. A mixture of compound 14a (0.5 g) and CH_2Cl_2 (10 ml) was treated with an ethereal diazomethane solution (from 3 g N-nitrosomethylurea). The mixture was kept at 5°C for 6 h, then allowed to warm to room temperature. After removal of the volatile solvents, the residual substance was crystallized from cyclohexane to give 15 (0.44 g, 84%) as red crystals, mp. 112°C. Anal. Calcd. for: $C_{18}H_{15}N_2O_4P$ (354.303) C, 61.02; H, 4.26; N, 7.91; P, 8.74. Found: C, 61.25; H, 4.19; N, 7.86; P, 8.63. IR (KBr): Bands at: 2220 (CN), 1290 (P=O) and 1050 cm⁻¹ (P=O-CH₃). ¹H NMR (CDCl₃): Signals at δ 3.65 (3H, s, OCH₃), 3.88 (6H, 2d, J_{HP} = 12 Hz, P=O-CH₃) and 7.3-7.9 ppm. (6H, m, Ar-H). MS: m/z 354 (M⁺).
- 4) Thermal decomposition of adduct 14a. Compound 14a (0.5 g) was heated in a cold finger sublimator at 220°C (bath temperature) under reduced pressure (0.5 mm/Hg) for about 30 minutes. The substance that sublimed was boiled in cyclohexane, filtered and the precipitate was recrystallized from acetic acid to give 0.3 g (72%) of 1-dicyanomethylene-acenaphthen-2-one (9) which was identified by mp., mixed mp. 10 and comparative IR spectra. DMP was detected in the receiver by the development of a violet color on addition of 3,5-dinitrobenzoic acid in the presence of alkali. 12
- 5) Reaction of 1-Dicyanomethylene-3-indanone (10) with Trialkyl Phosphites (1a-c). General procedure: To a stirred solution of 10 (0.01 mol) in 20 ml of dry CH₂Cl₂ at 0-5°C was added a solution of trimethyl phosphite (TMP, 1a, 2.2 ml, 0.02 mol) in CH₂Cl₂ (5 ml). The reaction mixture was allowed

TABLE III

13C NMR data*

Cpd.b	Carbon ^c	2	3	4	5	6	7
13a	19.38	29.72	44.28	57.72	117.15	117.69	168.6
14a	33.77	41.36	55.71	117.19	117.43	187.0	
18a	31.70	44.42	47.36 & 50.37	56.02 & 56.94	127.13	128.30	200.81

*See experimental for details of NMR experiments.

^bThe solvent is CDCl₃.

'The numbering system is as drawn.

to warm to r.t. (3 h), then refluxed for 18 h. The removal of the solvent at 20°C, first at 20 mm, and then at 0.05 mm/Hg, yielded a red substance which was recrystallized from pet. ether (b.r. 80-100°C) to give red crystals, proved to be the corresponding methyl ether, 17a, by mp., mixed mp. and comparative IR spectra.⁷

Similarly, the reaction of compound 10 with TEP and TiPrP (1b,c) afforded the respective alkyl ethers 17b and 17c. Tables I and II should be consulted for the percentage yields, physical and analytical data for the ethers 17a-c.

6) Reaction of 1-Dicyanomethylene-3-indanone (10) with Dialkyl Phosphonates (DAP, 11a-c). General procedure: A mixture of compound 10 (0.01 mol) and DAP (DMP, DEP and/or DiPrP, 11a-c, respectively, 0.02 mol) was heated at 100°C in absence of solvent for 24 h. Excess of DAP was removed under vacuum, and the residue was washed several times with light petroleum, then recrystallized from the suitable solvent to give phosphonates 18a-c as colorless crystalline products. Percentage yields, physical and analytical data for compounds 18 are given in Tables I, II and III.

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